

\$

Attorney Docket No. 47004.000067



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

Kevin SULLIVAN

Serial No.: 09/511,362

Filed: February 23, 2000

Group Art Unit: 2768

Examiner: To Be Assigned

For: MUTUAL FUND CARD METHOD AND SYSTEM

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Attention: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the attached U.S. Patent and Trademark Office “Notice to File Missing Parts of Nonprovisional Application” mailed May 3, 2000, Applicant is submitting herewith an executed Declaration for filing in the cited patent application, along with the required fee for late filing of the Declaration and the basic application and additional claims filing fees. Accordingly, it is respectfully requested that a filing date of February 23, 2000 be granted to this application.

Also enclosed is an executed Assignment document and Assignment Recordation cover sheet. The Assignment Recordation fee of \$40.00 is included in the attached check.

Attorney Docket No. 47004.000067
Application Serial No. 09/511,362

A check in the amount of \$1,082.00 is enclosed for the fee for filing the Late Declaration, the basic application and additional claims filing fees and Assignment recordation. In the event any variance exists between the amount enclosed and the PTO charges, please charge or credit any difference to Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS

By: George B. Georgellis
George B. Georgellis
Registration No. 43,632

1900 K Street, N.W., Suite 1200
Washington, D.C. 20006-1109
(202) 955-1500 (Telephone)
(202) 778-2201 (Facsimile)

Dated: June 28, 2000

FORMALITIES LETTER



OC00000005091981

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/511,362	02/23/2000	Kevin Sullivan	47004.000022

Thomas J. Scott, Jr.
Hunton & Williams
1900 K. Street, N.W.
Suite 1200
Washington, DC 20006



Date Mailed: 05/03/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$222.
 - \$144 for 8 total claims over 20.
 - \$78 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1042.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/29/2000 EHAMOND 00000065 09511362
 01 FC:101 690.00 OF
 02 FC:102 78.00 OF
 03 FC:103 144.00 OF
 04 FC:105 130.00 OF